



Food and Drug Administration  
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November 14, 2014

NuVasive, Inc.  
Mr. Jeremy Markovich  
Associate Manager, Regulatory Affairs  
7475 Lusk Blvd.  
San Diego, CA 92121

Re: K141968

Trade/Device Name: NuVasive NVM5 System  
Regulation Number: 21 CFR 874.1820  
Regulation Name: Neurosurgical nerve locator  
Regulatory Class: Class II  
Product Code: PDQ, ETN, GWF, HAW, IKN, OLO  
Dated: October 14, 2014  
Received: October 15, 2014

Dear Mr. Markovich,

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the [Federal Register](#).

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803); good manufacturing practice requirements as set

forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please contact the Division of Industry and Consumer Education at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address

<http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm>. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to

<http://www.fda.gov/MedicalDevices/Safety/ReportaProblem/default.htm> for the CDRH's Office of Surveillance and Biometrics/Division of Postmarket Surveillance.

You may obtain other general information on your responsibilities under the Act from the Division of Industry and Consumer Education at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address

<http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm>.

Sincerely yours,

  
**Felipe Aguel -S**

for Carlos L. Peña, PhD, MS  
Director

Division of Neurological and  
Physical Medicine Devices  
Office of Device Evaluation  
Center for Devices and Radiological Health

Enclosure

## Indications for Use

510(k) Number (if known)

K141968

Device Name

NuVasive® NVM5® System

### Indications for Use (Describe)

The NVM5® System is a medical device that is intended for intraoperative neurophysiologic monitoring during spinal surgery. The device provides information directly to the surgeon, to help assess a patient's neurophysiologic status. NVM5 provides this information by electrically stimulating nerves via electrodes located on surgical accessories and monitoring electromyography (EMG), transcranial or lumbar motor evoked potential (MEP) or somatosensory evoked potential (SSEP) responses of nerves. The System also integrates Bendini software used to locate spinal implant instrumentation for the placement of spinal rods.

- XLIF® (Detection) – The XLIF (Detection) function allows the surgeon to locate and evaluate spinal nerves, and is used as a nerve avoidance tool.
- Basic & Dynamic Screw Test – The Screw Test functions allow the surgeon to locate and evaluate spinal nerves by providing proximity information before, during or after bone preparation and placement of bone screws.
- Free Run EMG – The Free Run EMG function identifies spontaneous EMG activity of spinal nerves by continually displaying a live stream waveform of any mechanically induced myotome contractions.
- Twitch Test (Train of Four) – The Twitch Test Function allows the surgeon to assess moderate degrees of neuromuscular block in effect by evaluating muscle contraction following a train of four stimulation pulses.
- MEP – Transcranial or lumbar (i.e., conus in region of L1-L2) stimulation techniques for motor evoked potentials are used to assess acute dysfunction in axonal conduction of the corticospinal tract and peripheral nerves. The MEP function provides an adjunctive method to allow the surgeon to monitor spinal cord and motor pathway integrity during procedures with a risk of surgically induced motor injury.
- SSEP – The SSEP function allows the surgeon to assess sensory spinal cord function in surgical procedures during which the spinal cord is at risk.
- Remote Reader – The Remote Reader function provides real-time remote access to the NVM5 System for a monitoring physician outside of the operating room.
- Guidance – The Guidance function is intended as an aid for use in either open or percutaneous pedicle cannulation procedures in the lumbar and sacral spine (L1-S1) of adult patients, and when used in conjunction with radiographic imaging and EMG, allows the surgeon to assess the angulation of system accessories relative to patient spinal anatomy for the creation of a cannulation trajectory for bone screw placement.
- Bendini - The Bendini Spinal Rod Bending function is used to locate spinal implant system instrumentation (screws, hooks) to determine their relative location to one another to generate bend instructions to shape a spinal rod. A surgeon is able to use those instructions and bend a rod using the Bendini Bender, a mechanical rod bender.

Type of Use (Select one or both, as applicable)

☒ Prescription Use (Part 21 CFR 801 Subpart D)

☐ Over-The-Counter Use (21 CFR 801 Subpart C)

**CONTINUE ON A SEPARATE PAGE IF NEEDED.**

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**510(k) Summary**

In accordance with Title 21 of the Code of Federal Regulations, Part 807, and in particular 21 CFR §807.92, the following summary of information is provided:

**A. Submitted by:**

Jeremy Markovich  
Associate Manager, Regulatory Affairs  
NuVasive, Incorporated  
7475 Lusk Blvd.  
San Diego, California 92121  
Telephone: (858) 909-1800  
Date Prepared: October 14, 2014

**B. Device Name**

Trade or Proprietary Name: *NuVasive<sup>®</sup> NVM5<sup>®</sup> System*  
Common or Usual Name: Neurological surgical monitor;  
Stereotaxic Instrument  
Classification Name: Surgical Nerve Stimulator/Locator;  
Evoked response electrical stimulator;  
Neurological stereotaxic instrument;  
Electromyography (EMG) monitor/stimulator  
Device Class: Class II  
Classification: §874.1820, §882.1870, §882.4560, §890.1375  
Product Code: PDQ, ETN, GWF, HAW, IKN, OLO

**C. Predicate Devices**

The subject *NuVasive NVM5 System* is substantially equivalent to the predicate NuVasive NVM5 System - 510(k) - K132694.

**D. Device Description**

The *NVM5 System* is a medical device that is intended for intraoperative neurophysiologic monitoring during spinal surgery. The device provides information directly to the surgeon, to help assess a patient's neurophysiologic status. *NVM5* provides this information by electrically stimulating nerves via electrodes located on surgical accessories and monitoring electromyography (EMG), motor evoked potential (MEP) or somatosensory evoked potential (SSEP) responses of nerves. Moreover, a Twitch Test function is utilized to test the ability of the nerve to respond, or contract, following four stimulation pulses to determine the presence of neuromuscular block.

Additionally, the *NVM5 System* includes an integrated stereotactic guidance system (*NVM5 Guidance*) to support the delivery of pedicle screws during EMG monitoring. The System also integrates Bendini<sup>®</sup> software used to locate spinal implant instrumentation for the placement of spinal rods. Lastly, the system also offers an optional screen sharing application to allow a secondary physician to remotely view the events represented on the NVM5 user interface. In summary, the *NVM5 System* includes the following six (6) software functionalities / modalities:

1. Electromyography (EMG)
2. Motor Evoked Potential (MEP)
3. Somatosensory Evoked Potential (SSEP)
4. Remote Reader
5. Guidance
6. Bendini

The *NVM5 System* hardware consists of a Patient Module (PM) and computer, as well as accompanying accessory components which consist of an assortment of disposable conductive probes, electrodes, and electrode leads.

#### **E. Intended Use**

The *NVM5 System* is a medical device that is intended for intraoperative neurophysiologic monitoring during spinal surgery. The device provides information directly to the surgeon, to help assess a patient's neurophysiologic status. *NVM5* provides this information by electrically stimulating nerves via electrodes located on surgical accessories and monitoring electromyography (EMG), transcranial or lumbar motor evoked potential (MEP), or somatosensory evoked potential (SSEP) responses of nerves. The System also integrates Bendini<sup>®</sup> software used to locate spinal implant instrumentation for the placement of spinal rods.

- **XLIF (Detection)** – The XLIF (Detection) function allows the surgeon to locate and evaluate spinal nerves, and is used as a nerve avoidance tool.
- **Basic & Dynamic Screw Test** – The Screw Test functions allow the surgeon to locate and evaluate spinal nerves by providing proximity information before, during or after bone preparation and placement of bone screws.
- **Free Run EMG** – The Free Run EMG function identifies spontaneous EMG activity of spinal nerves by continually displaying a live stream waveform of any mechanically induced myotome contractions.
- **Twitch Test (Train of Four)** – The Twitch Test Function allows the surgeon to assess moderate degrees of neuromuscular block in effect by evaluating muscle contraction following a train of four stimulation pulses.
- **MEP** – Transcranial or lumbar (i.e., conus in region of L1-L2) stimulation techniques for motor evoked potentials are used to assess for acute dysfunction in axonal conduction of the corticospinal tract and peripheral nerves. The MEP function provides an adjunctive method to allow the surgeon to monitor spinal cord and motor pathway integrity during procedures with a risk of surgically induced motor injury.
- **SSEP** – The SSEP function allows the surgeon to assess sensory spinal cord function in surgical procedures during which the spinal cord is at risk.
- **Remote Reader** – The Remote Reader function provides real time remote access to the NVM5 System for a monitoring physician outside of the operating room.
- **Guidance** – The Guidance function is intended as an aid for use in either open or percutaneous pedicle cannulation procedures in the lumbar and sacral spine (L1-S1) of adult

patients, and when used in conjunction with radiographic imaging and EMG, allows the surgeon to assess the angulation of system accessories relative to patient spinal anatomy for the creation of a cannulation trajectory for bone screw placement.

- Bendini – The Bendini Spinal Rod Bending function is used to locate spinal implant system instrumentation (screws, hooks) to determine their relative location to one another to generate bend instructions to shape a spinal rod. A surgeon is able to use those instructions and bend a rod using the Bendini Bender, a mechanical rod bender.

#### **F. Technological Characteristics**

As was established in this submission, the subject *NVM5 System* is substantially equivalent to other predicate devices cleared by the FDA for commercial distribution in the United States. The subject device was shown to be substantially equivalent and have equivalent technological characteristics to its predicate device through comparison in areas including design, intended use, material composition, and functions. The technological differences within this 510(k) that were shown to be substantially equivalent to the predicates include:

- Modified MEP stimulation parameters
- Modified SSEP algorithm
- Additional Bendini features



**Table 1 – Comparison of Technical Characteristics**

Specification/ Property	Predicate Device <b>NuVasive NVM5 System (K132694)</b>	Subject Device <b>NuVasive NVM5 System</b>
Intended Use / Indications for Use	<p>The NVM5® System is a medical device that is intended for intraoperative neurophysiologic monitoring during spinal surgery. The device provides information directly to the surgeon, to help assess a patient's neurophysiologic status. NVM5 provides this information by electrically stimulating nerves via electrodes located on surgical accessories and monitoring electromyography (EMG), transcranial or lumbar motor evoked potential (MEP), or somatosensory evoked potential (SSEP) responses of nerves. The System also integrates Bendini® software used to locate spinal implant instrumentation for the placement of spinal rods.</p> <ul style="list-style-type: none"> <li>• XLIF (Detection) – The XLIF (Detection) function allows the surgeon to locate and evaluate spinal nerves, and is used as a nerve avoidance tool.</li> <li>• Basic &amp; Dynamic Screw Test – The Screw Test functions allow the surgeon to locate and evaluate spinal nerves by providing proximity information before, during or after bone preparation and placement of bone screws.</li> <li>• Free Run EMG – The Free Run EMG function identifies spontaneous EMG activity of spinal nerves by continually displaying a live stream waveform of any mechanically induced myotome contractions.</li> <li>• Twitch Test (Train of Four) – The Twitch Test Function allows the surgeon to assess moderate degrees of neuromuscular block in effect by evaluating muscle contraction following a train of four stimulation pulses.</li> <li>• MEP – Transcranial or lumbar (i.e., conus in region of L1-L2) stimulation techniques for motor evoked potentials are used to assess for acute dysfunction in axonal conduction of the corticospinal tract and peripheral nerves. The MEP function provides an adjunctive method to allow the surgeon to monitor spinal cord and motor pathway integrity during procedures with a risk of surgically induced motor injury.</li> <li>• SSEP – The SSEP function allows the surgeon to assess sensory spinal cord function in surgical procedures during which the spinal cord is at risk.</li> <li>• Remote Reader – The Remote reader function provides real time remote access to the NVM5 System for a monitoring physician outside of the operating room</li> <li>• Guidance – The Guidance function is intended as an aid for use in either open or percutaneous pedicle cannulation procedures in the lumbar and sacral spine (L1-S1) of adult patients, and when used in conjunction with radiographic imaging and EMG, allows the surgeon to assess the angulation of system accessories relative to patient spinal anatomy for the creation of a cannulation trajectory for bone screw placement.</li> <li>• Bendini – The Bendini Spinal Rod Bending function is used to locate spinal implant system instrumentation (screws, hooks) to determine their relative location to one another to generate bend instructions to shape a spinal rod. A surgeon is able to use those instructions and bend a rod using the Bendini Bender, a mechanical rod bender.</li> </ul>	<p>The NVM5® System is a medical device that is intended for intraoperative neurophysiologic monitoring during spinal surgery. The device provides information directly to the surgeon, to help assess a patient's neurophysiologic status. NVM5 provides this information by electrically stimulating nerves via electrodes located on surgical accessories and monitoring electromyography (EMG), transcranial or lumbar motor evoked potential (MEP), or somatosensory evoked potential (SSEP) responses of nerves. The System also integrates Bendini® software used to locate spinal implant instrumentation for the placement of spinal rods.</p> <ul style="list-style-type: none"> <li>• XLIF (Detection) – The XLIF (Detection) function allows the surgeon to locate and evaluate spinal nerves, and is used as a nerve avoidance tool.</li> <li>• Basic &amp; Dynamic Screw Test – The Screw Test functions allow the surgeon to locate and evaluate spinal nerves by providing proximity information before, during or after bone preparation and placement of bone screws.</li> <li>• Free Run EMG – The Free Run EMG function identifies spontaneous EMG activity of spinal nerves by continually displaying a live stream waveform of any mechanically induced myotome contractions.</li> <li>• Twitch Test (Train of Four) – The Twitch Test Function allows the surgeon to assess moderate degrees of neuromuscular block in effect by evaluating muscle contraction following a train of four stimulation pulses.</li> <li>• MEP – Transcranial or lumbar (i.e., conus in region of L1-L2) stimulation techniques for motor evoked potentials are used to assess for acute dysfunction in axonal conduction of the corticospinal tract and peripheral nerves. The MEP function provides an adjunctive method to allow the surgeon to monitor spinal cord and motor pathway integrity during procedures with a risk of surgically induced motor injury.</li> <li>• SSEP – The SSEP function allows the surgeon to assess sensory spinal cord function in surgical procedures during which the spinal cord is at risk.</li> <li>• Remote Reader – The Remote reader function provides real time remote access to the NVM5 System for a monitoring physician outside of the operating room</li> <li>• Guidance – The Guidance function is intended as an aid for use in either open or percutaneous pedicle cannulation procedures in the lumbar and sacral spine (L1-S1) of adult patients, and when used in conjunction with radiographic imaging and EMG, allows the surgeon to assess the angulation of system accessories relative to patient spinal anatomy for the creation of a cannulation trajectory for bone screw placement.</li> <li>• Bendini – The Bendini Spinal Rod Bending function is used to locate spinal implant system instrumentation (screws, hooks) to determine their relative location to one another to generate bend instructions to shape a spinal rod. A surgeon is able to use those instructions and bend a rod using the Bendini Bender, a mechanical rod bender.</li> </ul>



Specification/ Property	Predicate Device		Subject Device	
	NuVasive NVM5 System (K132694)		NuVasive NVM5 System	
Software Modalities / Functionalities	<ul style="list-style-type: none"> <li>• XLIF (Detection)</li> <li>• Basic &amp; Dynamic Screw Test</li> <li>• Free Run EMG</li> <li>• Twitch Test</li> <li>• TceMEP</li> <li>• SSEP</li> <li>• Remote Monitoring</li> <li>• Guidance</li> <li>• Bendini</li> </ul>		<ul style="list-style-type: none"> <li>• XLIF (Detection)</li> <li>• Basic &amp; Dynamic Screw Test</li> <li>• Free Run EMG</li> <li>• Twitch Test</li> <li>• MEP</li> <li>• SSEP</li> <li>• Remote Monitoring</li> <li>• Guidance</li> <li>• Bendini</li> </ul>	
Algorithms	<ul style="list-style-type: none"> <li>• XLIF (Detection)</li> <li>• Basic &amp; Dynamic Screw Test</li> <li>• Free Run EMG</li> <li>• Twitch Test</li> <li>• TceMEP</li> <li>• SSEP</li> <li>• Guidance</li> <li>• Bendini</li> </ul>		<ul style="list-style-type: none"> <li>• XLIF Detection – Identical algorithm as predicate</li> <li>• Basic &amp; Dynamic Screw Test – Identical algorithm as predicate</li> <li>• Free Run EMG – Identical algorithm as predicate</li> <li>• Twitch Test (Train of Four) – Identical algorithm as predicate</li> <li>• MEP – Modified stimulation parameters</li> <li>• SSEP – Addition of baseline algorithm and optional view</li> <li>• Guidance – Identical algorithm as predicate</li> <li>• Bendini – Identical rod-bending algorithm as predicate</li> </ul>	
Total Available Channels	32		32	
Headbox/ Patient Module	Yes		Yes	
IEC 60601-1 Compliant	Yes		Yes	
Full Scale View Range	$\pm 0.5\mu\text{V}$ to $\pm 8\text{mV}$		$\pm 0.5\mu\text{V}$ to $\pm 8\text{mV}$	
Frequency Response	3 Hz to 4.8 kHz		3 Hz to 4.8 kHz	
User Interface	NuVasive-supplied computer or NuVasive provided touch screen and [optional] keyboard/mouse		NuVasive-supplied computer or NuVasive provided touch screen and [optional] keyboard/mouse	
Remote Monitoring	Yes		Yes	
Train of Four Testing	Yes		Yes	
Needle Electrodes	Various		Various	

Specification/ Property	Predicate Device	Subject Device	
	NuVasive NVM5 System (K132694)		NuVasive NVM5 System
Surface Electrodes	Various	Various	
Electrode Leads	Various	Various	
Stimulating Probes	Various	Various	
Recording Channels	EMG, MEP, and SSEP	EMG, MEP, and SSEP	
EMG			
EMG Modalities	<ul style="list-style-type: none"><li>• XLIF (Detection)</li><li>• Basic &amp; Dynamic Screw Test</li><li>• Free Run EMG</li><li>• Twitch Test</li></ul>	<ul style="list-style-type: none"><li>• XLIF (Detection)</li><li>• Basic &amp; Dynamic Screw Test</li><li>• Free Run EMG</li><li>• Twitch Test</li></ul>	
	XLIF (Detection)		
	Types of Modes	Automatic Stimulation	Automatic Stimulation
	Threshold Values for Color Alerts	Yes	Yes (Identical to predicate)
Audio feedback	Yes	Yes	
Basic & Dynamic Screw Test			
Types of Modes	Automatic Stimulation	Automatic Stimulation	
Threshold Values for Color Alerts	Yes	Yes (Identical to predicate)	
Audio feedback	Yes	Yes	
Free Run EMG			
Types of Modes	Manual Stimulation	Manual Stimulation	
Threshold Values for Color Alert	Yes	Yes (Identical to predicate)	
Audio feedback	Yes	Yes	
Twitch Test			
Types of Modes	Manual and Automatic Stimulation	Manual and Automatic Stimulation	
Threshold Values for Color Alerts	Yes	Yes (Identical to predicate)	
Audio feedback	Yes	Yes	
MEP			
Types of Modes	Manual and Automatic Stimulation	Manual and Automatic Stimulation	
Threshold Values for Color Alerts	Yes	Yes (Identical to predicate)	
Audio feedback	Yes	Yes	

Specification/ Property	Predicate Device	Subject Device
	NuVasive NVM5 System (K132694)	NuVasive NVM5 System
SSEP		
Types of Modes	Manual Stimulation	Manual Stimulation
Threshold Values for Color Alerts	Yes	Yes (Identical to predicate)
Audio feedback	Yes	Yes
Remote Reader		
Screen-sharing accessibility	Remote Monitoring	Remote Monitoring
Guidance		
Clinical Use	<ul style="list-style-type: none"><li>Requires input derived from CT, MRI, or radiographic images</li><li>Intended to assist the surgeon in cannulating the pedicle based on user predefined trajectory</li><li>Integrated with EMG stimulation</li></ul>	<ul style="list-style-type: none"><li>Requires input derived from CT, MRI, or radiographic images</li><li>Intended to assist the surgeon in cannulating the pedicle based on user predefined trajectory</li><li>Integrated with EMG stimulation</li></ul>
Performance Requirements	<ul style="list-style-type: none"><li>Angular tolerance of ±2°</li><li>Confirmation of alignment to pre-planned trajectory</li><li>Seamlessly integrated with an insulated Jamshidi Needle</li></ul>	<ul style="list-style-type: none"><li>Angular tolerance of ±2°</li><li>Confirmation of alignment to pre-planned trajectory</li><li>Seamlessly integrated with an insulated Jamshidi Needle</li></ul>
IEC 60601 Compliant	YES	YES
User Interface	Touch screen, graphical user interface and audio	Touch screen, graphical user interface and audio
Bendini		
Components	Optical (IR) tracking technology system, IR tracking instruments, computer.	Optical (IR) tracking technology system, IR tracking instruments, computer.
User Interface	Touch screen, graphical user interface and audio.	Touch screen, graphical user interface and audio.
IEC 60601 Compliant	YES	YES
Instrumentation	<ul style="list-style-type: none"><li>IR Digitizer (with integrated passive spheres)</li><li>Rod Bender</li></ul>	<ul style="list-style-type: none"><li>IR Digitizer (with integrated passive spheres)</li><li>Rod Bender</li></ul>

## **G. Performance Data**

Nonclinical testing was performed to demonstrate that the subject *NVM5 System* is substantially equivalent to other predicate devices and to verify that the *NVM5 System* meets design specifications and performance characteristics, based upon the intended use. The *NVM5 System* was subjected to Verification and Validation Testing according to the Software Requirements Specifications defined for the system, to include the modifications made as part of the subject device. Laboratory bench top and cadaveric testing was performed as follows:

- To verify parameters such as pulse width and amplitude, current polarity, stimulation rates and response detection ranges.
- To validate the effectiveness of boundary conditions, extreme values, and nominal entries displayed on the GUI.
- To verify point acquisition, user defined inputs, and rod bending instructions.
- To validate the user defined inputs, point acquisition, and measurements result in proper bend instructions and/or calculated offsets.

The results of these studies showed that the subject *NVM5® System* meets or exceeds the performance of the predicate device, and the device was therefore found to be substantially equivalent.

## **H. Conclusions**

Based on the indications for use, technological characteristics, performance testing, and comparison to predicate devices, the subject *NVM5 System* has been shown to be substantially equivalent to legally marketed predicate devices.